

GOGIA CAPITAL SERVICES LTD.

Regd. Off.: 100 A/1, The Capital Court,
Olof Palme Marg, Munirka,
New Delhi- 110067
Ph. No. +91-11-49418888,
Email id : accounts@gogiacap.com

Date:- 20.05.2021

**To,
BSE Limited,
Department of Corporate Services
25th Floor, P.J. Towers
Dalal Street,
Mumbai – 400 001**

Scrip Code: 531600

Re: Submission of Annual Secretarial Compliance Report under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Respected Sir or Madam,

With reference to the above captioned subject matter and pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8 February 2019; we are forwarding herewith Annual Secretarial Compliance Report for the Financial Year 2020-21.

Kindly take the same on your record & oblige.

Your Sincerely,

**For and on behalf of Board of Director of
Gogia Capital Services Limited**



**Satish Gogia
(Managing Director)
DIN: 00932987**



Enclosed: Annual Secretarial Compliance Report



Richa Dhamija and Company

Company Secretary

C-553, Sector-19, Noida-201301

Mobile No: 9911792770

E mail Id: hricha.dhamija@gmail.com

Secretarial compliance report of Gogia Capital Services Limited for the year ended 31st March, 2021

(Pursuant to SEBI circular no. CIR/CFD/CMD1/27/2019 dated February 8, 2019)

1, Richa Dhamija, have examined:

- a) all the documents and records made available to me and explanation provided by Gogia Capital Services Limited ("the listed entity") (CIN L74899DL1994PLC059674)
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable during the review period)
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; (Not applicable during the review period)
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable during the review period)
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable during the review period)
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable during the review period)
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable during the review period)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; (Not applicable during the review period)

- i) Other regulations as applicable;
and circulars/ guidelines issued thereunder;

and based on the above examination, | hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr.no.	Compliance Requirement (Regulations/ circulars / guidelines including specific Clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 46 of SEBI (LODR) Regulations, 2015	Company has partially complied with this regulation during the FY 2020-21.	The Company has not followed regulations 46 of SEBI (LODR) Regulations, 2015. The management is saying that they will at earliest update the website of company.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr.no.	Action taken by	Details of Violation	Details of action taken E.g., fines, warning letter, debarment, etc.	Observations/ Remarks of the Practicing Company Secretary
NIL				

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr.no.	Observations of the Practicing Company Secretary in previous reports	Observation mad in the secretarial reports for the year ended...(the years to be mentioned)	action taken by the listed entity, if any	Comments of the Practicing Company Secretary on the action taken by the entity
Not applicable				

The prevailing circumstances in the country on account of Lockdown and COVID-19 have impacted, to some extent, verification of documents and records of the company.

**For Richa Dhamija & Company
(Company Secretaries)**

**RICHA
DHAMIJA**

Digitally signed by RICHA DHAMIJA
DN: c=IN, o=Personal, postalCode=201301,
st=Uttar Pradesh,
2.5.4.20=3495cfaabe4d543faf6e12a1edbe
8d38458a2140cee046500f774f210fa8d3,
serialNumber=7dd435c475b1c8ed966d9f9
dad3ac5940e08723a23ea74ad5a1a9ee147
284bb3, cn=RICHA DHAMIJA
Date: 2021.05.20 16:32:08 +05'30'

**Richa Dhamija
(Proprietor)
FCS 9776
COP 12099**

UDIN: F009776C000349995

**Date: 20.05.2021
Place: Noida**